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UTILITY
PATENT APPLICATION
TRANSMITTAL

3.

Attorney Docket No. 249212023700 First Inventor Peter DICKEY Title WORKSHELF FOR A COMPUTER RACK

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EV 335358511 US MS Patent Application **APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents Alexandria, VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) Х (Submit an original, and a duplicate for fee processing) - 2 pages Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) X Specification [Total Pages 14 Computer Readable Form (CRF) a. (preferred arrangement set forth below) Specification Sequence Listing on: Descriptive title of the invention Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or Paper Statement Regarding Fed sponsored R & D Reference to sequence listing, a table. Statements verifying identity of above copies or a computer program listing appendix Background of the Invention **ACCOMPANYING APPLICATIONS PARTS** - Brief Summary of the Invention Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - 2 pages Х - Detailed Description 37 CFR 3.73(b) Statement - Claim(s) Power of 10. Х - Abstract of the Disclosure (when there is an assignee) - 2 pg Attorney - 2 pages X Drawing(s) (35 U.S.C. 113) [Total Sheets English Translation Document (if applicable) 6 11. Information Disclosure X Copies of IDS Citations - 13 references 5. Oath or Declaration 2 Total Sheets 12. Х Statement (IDS)/PTO-1449-7 pg a. X Newly executed (original or copy) 13 Preliminary Amendment Copy from a prior application (37 CFR 1.63(d)) Return Receipt Postcard (MPEP 503) 14 Х (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) 15. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). inventor(s) named in the prior application, 16 Applicant must attach form PTO/SB/35 or its equivalent. see 37 CFR 1.63(d)(2) and 1.33(b). 17. Other: Application Data Sheet, See 37 CFR 1,76 - 2 pages 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Х Customer Number: 25226 OR Correspondence address below Address State Zip Code Country Telephone Registration No. (Attorney/Agent) Wyman Name (Print/Type) Brvarí 48,049 September 9, 2003 Signature I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Label No. EV 335358511 US, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P. A. Box 1450, Aexandria, VA 22313-1450, on the date shown below. Signature:

Dated: September 9, 2003 (Tamara Alcaraz)

Name

City

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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CCC TO ANOMITTAL	į	Compl te if Known							
FEE TRANSMITTAL	L	Applic	ation	tion Number Not Yet Assigned					
for FY 2003	Filing Da								
Effective 01/01/2003, Patent fees are subject to annual revision.		First N	Named Inventor			Peter DIC	CKEY		
Encoure on on 2000, y atom root are despet to a made rondon.	Examiner Name			ame		Not Yet Assigned			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit					Not Yet Assigned			
TOTAL AMOUNT OF PAYMENT (\$) 880.00	Attorney Docket No.				Э.	249212023700			
METHOD OF PAYMENT (check all that apply)	Γ			FEE	CALCUL	ATION (co	entinued)		
Check Credit Money Other None	3 A	DDITK) NAI						
Card Order Other	3. ADDITIONAL FEES								
X Deposit Account	Large Entity Small Entity								
Deposit Account 03-1952	Fee	Fee	Fee	Fee	-	Fan Dane			
Number	Code	(\$)	Code	(\$)		Fee Desc	cription	Fee Paid	
Deposit Account Morrison & Foerster LLP	1051	51 130 2051 65 Surcharge – late filing fee or oath					e or oath		
Name The Director is hereby authorized to: (check all that apply)	1052	50	2052	25	Surcharge - sheet.	- late provision	onal filing fee or cover		
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English	n specificatio	n		
X Charge any additional fee(s) during the pendency of this application	1812	2,520	1812	2,520	_		parte reexamination		
Charge fee(s) indicated below, except for the filling fee	1804	920*	1804	920*	Requesting publication of SIR prior to				
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Examiner action Requesting publication of SIR after				
FEE CALCULATION	1251	110	2251	55	Examiner as Extension for	ction or reply within	n first month		
1. BASIC FILING FEE	1252	410	2252				n second month		
Large Entity Small Entity	1253	930	2253	465	Extension fo	or reply within	n third month		
Fee Fee Fee Fee Fee Pescription Fee Paid Code (\$) Code (\$)	1254	1,450	2254	725	Extension for	or reply within	n fourth month		
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee 750.00	1255	1,970	2255	985	Extension fo	or reply within	n fifth month		
1002 330 2002 165 Design filing fee	1401	320	2401		Notice of Ap	• •			
1003 520 2003 260 Plant filing fee	1402	320	2402	160		f in support o	of an appeal		
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for	oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to in	nstitute a pub	lic use proceeding		
SUBTOTAL (1) (\$) 750.00	1452	110	2452	55	Petition to re	evive – unav	oidable		
750.50	1453	1,300	2453	650	Petition to re	etition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,300	2501	650	Utility issue	fee (or reissi	ue)		
Extra Fee from Claims below Fee Paid	1502	470	2502	235	Design issue	e fee			
Total Claims 25 -20 = 5 x 18.00 = 90.00	1503	630	2503	315	Plant issue	fee	·		
Independent 3 -3 = 3 x 84.00 = 0.00	1460	130	1460	130	Petitions to	the Commiss	sioner		
Multiple Dependent 280.00 = 0.00	1807	50	1807	50	Processing	fee under 37	CFR 1.17(q)		
Large Entity Small Entity	1806	180	1806	180	Submission	of Informatio	on Disclosure Stmt		
Fee Fee Fee Fee Fee Description	8021	40	8021	40			ssignment per	40.00	
Code (\$) Code (\$)							of properties) final rejection		
1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375	(37 ČFR 1.1	(29(a))			
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375		lditional invei 37CFR 1.129			
1204 84 2204 42 ** Reissue independent claims	1801	750	2801	375	Request for	Continued E	examination (RCE)		
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900		expedited ex application	xamination		
and over original patent	Other f	of a design application Other fee (specify)							
SUBTOTAL (2) (\$) 90.00	*Redu	ced by E	asic Fil	ing Fee	Paid	SUBTO	TAL (3) (\$)	40.00	
**or number previously paid, if greater; For Reissues, see above									
SUBMITTED BY	Complete (if applicable)								
Name (Print/Type) Bryan H. Wyman	Registration No. (Attorney/Agent) 48,049 Telephone (650) 813-5779								
Signature Pan W. M	ノフ				: -	Date	September 9, 20	003	
	-/ -	$\overline{}$	_						

PTO/SB/96 (05-03)

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STATEMENT UNDER 37 CFR 3.73(b)						
Applicant/Patent Owner: Peter DICKEY and Kurt BUCKLAND						
Application No./Patent No.: Not Yet Assigned Filed/Issue Date: Concurrently Herewith						
Entitled: WORKSHELF FOR A COMPUTER RACK						
Quantum Corporation , a corporation Cype of Assignee, e.g., corporation, partnership, university, government agency, e						
states that it is:						
1. x the assignee of the entire right, title, and interest; or						
2. an assignee of less than the entire right, title and interest.						
The extent (by percentage) of its ownership interest is %						
in the patent application/patent identified above by virtue of either:						
A. [x] An assignment from the inventor(s) of the patent application/patent identified above. The assignment						
was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.						
OR						
B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:						
1. From: To:						
The document was recorded in the United States Patent and Trademark Office at						
Reel, Frame, or for which a copy thereof is attached.						
2. From: To:						
The document was recorded in the United States Patent and Trademark Office at Reel, or for which a copy thereof is attached.						
3. From: To: To: The document was recorded in the United States Patent and Trademark Office at						
Reel, Frame, or for which a copy thereof is attached.						
[] Additional documents in the chain of title are listed on a supplemental sheet.						
[] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]						
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.						
Date Typed or printed name						
(408) 944-4460 Telephone Number Signature						
Telephone Number Signature						
VP, beneral Coursel & Secretary						

Docket No: 249212023700 pa-817530